

# Investment Switch Form

PLATO INVESTMENT MANAGEMENT FUNDS

# Plato

INVESTMENT MANAGEMENT

The current Product Disclosure Statement ('PDS') and Additional Information to the PDS of the Plato Australian Shares Income Fund (A Class units), Plato Global Shares Income Fund (Class A Units) ('Plato Funds') can be found on our website [www.plato.com.au/retail-funds](http://www.plato.com.au/retail-funds) or you may contact us on **1300 010 311** or by e-mail at: [service@pinnacleinvestment.com](mailto:service@pinnacleinvestment.com)

**Please read the PDS of the relevant fund before switching investments.**

ACCOUNT DETAILS								
Investor Number (eight-digit number):								
Account Name:								
SWITCH DETAILS								
Please tick the appropriate box below:								
<b>From</b>				<b>To</b>				
<input type="checkbox"/> Plato Australian Shares Income Fund – Class A				<input type="checkbox"/> Plato Australian Shares Income Fund – Class A				
<input type="checkbox"/> Plato Global Shares Income Fund – Class A				<input type="checkbox"/> Plato Global Shares Income Fund – Class A				
<input type="checkbox"/> Plato Global Alpha Fund – Class A				<input type="checkbox"/> Plato Global Alpha Fund – Class A				
<b>Switch Amount:</b>	\$		OR	<b>Switch Percentage:</b>	%	OR	<b>Number of Units:</b>	units
<b>Please note:</b>								
<ul style="list-style-type: none"><li>• Please refer to the relevant offer documents to comply with the minimum investment amounts.</li><li>• A switch operates as a withdrawal of units in one fund and the investment of units in the other fund and therefore may have taxation implications.</li><li>• There is no switching fee applicable. However, a buy/sell spread will apply at the time of the switch.</li><li>• Switch requests received prior to 12:00pm Sydney time on a business day are deemed to be received that day, requests received after 12:00pm Sydney time are deemed to be received the next business day.</li><li>• A 100% switch out of a fund is equivalent to a full redemption and your account for that fund will be closed.</li><li>• If the remaining balance in a fund is below the minimal investment balance after the switch, we may treat the withdrawal request as being for your entire investment in that fund.</li></ul>								

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## DISTRIBUTION ELECTION

Please nominate how you would like any distributions from the fund you are switching into, to be paid.

If you are an existing investor in that fund, your nomination below will override any existing instructions. Distributions are automatically reinvested as additional units unless otherwise instructed.

### INCOME DISTRIBUTION

I/we wish to have the income distributions from the fund:

- reinvested as additional units in the fund; or  
 paid in cash (Australian dollars only) into my/our account below\*\*

Bank \_\_\_\_\_  
Account Name \_\_\_\_\_  
BSB No \_\_\_\_\_ Account No \_\_\_\_\_

\*\* Where distributions are paid directly to a bank account, they will only be paid in Australian (AUD) dollars. Bank account details should be for an account that will receive and accept AUD payments.

**NOTE:** We can not transfer proceeds to third party bank accounts. Nominated bank account name must be in the same name as the investor(s). For trusts or super funds, the bank account must be in the name of the trust/super fund or refer to the name of the trust/super fund e.g. 'ABC Super Fund' or 'ABC Pty Ltd ATF ABC Super Fund'.

## INVESTOR DECLARATION

All signature(s) on this form must match the signing authority currently held by the Registry for your account.

By signing this form, I/we declare that I/we:

- have read and understood in full the relevant PDS and Additional Information to the PDS to which this application relates, which is available at [www.plato.com.au](http://www.plato.com.au);
- agree to be bound by the terms, conditions and acknowledgements contained in the PDS as well as the relevant Additional Information to the PDS and agree that they form part of this declaration;
- have read, understood and agree to all declarations, conditions and acknowledgements contained in the PDS and Additional Information to the PDS, specifically the terms and conditions in the 'Additional Information' section of the PDS or Additional Information to the PDS;
- acknowledge that the Responsible Entity may require us to provide any additional documentation or other information to enable compliance with any laws relating to anti-money laundering and counter terrorism financing ('AML/CTF') or any other law, including the Foreign Account Tax Compliance Act ('FATCA');
- acknowledge, accept and declare that all the details given in this application are true and correct, and I/we undertake to inform you of any changes to the information supplied as and when they occur;
- have received and accepted this offer in Australia;
- certify that if we are signing under a Power of Attorney, the Power of Attorney has not been revoked;
- confirm that the details of my/our investment can be provided to the specified adviser group by the means and format that they direct;
- acknowledge that switching from one fund to another could give rise to realised capital gains which may be taxable;
- understand that a switch between the funds may take up to 7 business days to effect; and
- acknowledge that a 100% switch out of a fund is equivalent to a full redemption, and my/our account for that fund will be closed.

**INVESTOR DECLARATION (continued)**

<p><b><u>Signatory 1</u></b></p> <p>Signature: _____</p> <p>Full Name: _____</p> <p>Capacity: (e.g. director, trustee) _____</p> <p>Date: _____</p>	<p><b><u>Signatory 2</u></b></p> <p>Signature: _____</p> <p>Full Name: _____</p> <p>Capacity: (e.g. director, trustee) _____</p> <p>Date: _____</p>
<p><b><u>Signatory 3</u></b></p> <p>Signature: _____</p> <p>Full Name: _____</p> <p>Capacity: (e.g. director, trustee) _____</p> <p>Date: _____</p>	<p><b><u>Signatory 4</u></b></p> <p>Signature: _____</p> <p>Full Name: _____</p> <p>Capacity: (e.g. director, trustee) _____</p> <p>Date: _____</p>

**Return the completed form via post or fax to:**

Plato Investment Management  
c/- Citi Unit Registry Australia  
GPO Box 764  
Melbourne VIC 3001

[Fund Name] [Investor Name]  
c/- Citi Unit Registry Australia  
+61 1300 102 151